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THE FEE:

£495.00 + VAT *

***At 17.5% before 4/1/2011 and 20% after 4/1/2011**

The fee for this conference as shown above includes refreshments, luncheon and written documentation for one delegate. Additional conference documentation packs are available on request at £199 + VAT. These can be ordered by fax or email.

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Venue

THE EVENT IS BEING HELD AT:

America Square Conference Centre
One America Square, 17 Crosswall
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Tel: 020 7706 7700, Fax: 020 7481 4669
Web: www.cavendishconferencevenues.co.uk/cityconference-venues

NEAREST TUBE STATIONS:

Tower Hill (Circle and District Lines)
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- All conference presentations will be available from the City & Financial website: www.cityandfinancial.com

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Accommodation

City & Financial can provide a list of nearby hotels upon request



The *Revised* FSA Remuneration Code

A Practical Guide to its Implementation

America Square Conference Centre, London EC3

The Revised FSA Remuneration Code is critically important, both for those firms that are currently 'in-scope' (the largest banks, building societies and broker-dealers) and those that are going to be brought 'in-scope' with effect from 1st January next year. This latter group includes all banks and building societies, asset managers, hedge fund managers, UCITS investment firms, and many other firms that engage in corporate finance, venture capital, the provision of financial advice, brokers and multilateral trading facilities.

While the FSA's July 2010 consultation paper provided some clarity in relation to the FSA's proposals on how the rules will be implemented on a national basis, it did not give the full picture. There are ambiguities in the Capital Requirements Directive III text, which will only be resolved once the Committee of European Banking Supervisors (CEBS) has completed the consultation on its proposed guidelines (the consultation closes on 8th November). It is clear that these restrictions will be even tougher than originally expected, perhaps forcing wholesale restructuring of pay policies.

As a result of having to wait for the CEBS' guidelines, the final version of the Revised Code will now not be published until December, leaving very little time for those firms affected to review the new rules before the 1st January implementation date. While the approximately 2,500 firms that are being brought 'in-scope' for the first time will have the benefit of transitional arrangements, those firms that are already 'in-scope' will be expected to comply ab initio.

We are delighted to confirm that David Raikes, Manager, Remuneration Project, FSA will deliver the keynote address on The FSA's Policy Statement and Final Rules.

The programme will include:

- The FSA's policy statement and final rules
- The Committee of European Banking Supervisors and remuneration policy
- Remuneration structures under the revised Code
- The implications of the Code for risk management
- Provisions relating to conflicts of interest
- Corporate governance and the Code
- Non-cash payments, ways and means
- Profit based measurement and claw back
- Implementation – proportionality and risk adjustment
- The impact on private equity and asset management

Attendance at this conference will therefore be crucial for all regulated firms that fall within the ambit of the Revised FSA Remuneration Code, as it will provide a very timely opportunity for them to grasp the implications of the new rules and ensure that they are compliant with them. Those who should attend include senior management of all financial institutions, including chief executives, chief operating officers, other main board directors, heads of business units, heads of human resources, heads of risk, heads of internal audit, heads of treasury, heads of compliance and legal and in-house counsel.

Keynote address

David Raikes, Manager, Remuneration Project,
Financial Services Authority

Speaker panel

Janet Cooper, Partner, Linklaters LLP
Oliver Lodge, Managing Director, OWL Regulatory Consulting
Jonathan Master, Partner, Financial Services, Eversheds LLP
Nigel Mills, Director, MM & K
Stephen Rabel, Partner, Kinetic Partners LLP

Jo Swyngedou, Deputy Director, Prudential Policy, CBF and Chair of
the CEBS Remuneration Working Group
Jon Terry, Global Leader of PwC's Financial Services HR Practice
Alistair Woodland, Partner, Clifford Chance LLP

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About City & Financial



City & Financial is an independent research-based conference organiser. Throughout the world, we organise a number of high-level conferences under joint ventures with government departments, government agencies and leading organisations. We have a particular specialism in the area of regulation and are the organisers of *The Listing Rules Conference*, *The FSA Handbook Conference* and *The Market Abuse Conference*. For further information on our conferences, please visit our website at www.cityandfinancial.com.

Forthcoming events

Financial Services Investigations and Enforcement 2010 A One Day Conference Tuesday 7th December 2010 Central London

This well established and highly topical conference brings together a speaker faculty of exceptional quality to discuss the latest developments in this critically important area and to guide delegates through how best to prepare for and to handle an FSA investigation, and, if this leads to enforcement action, the strategies and tactics that are likely to result in the best outcome. The conference will be invaluable for all compliance officers, directors and senior managers within financial institutions, as well as their legal and other advisers.

Keynote address:

Jamie Symington, Head of Wholesale Enforcement, Financial Services Authority

Topics covered: * Enforcement trends * An industry view of the investigations and enforcement process * Tactics in responding to investigations and proceedings - including skilled persons reports and S166 FSMA * Case study * Settlement negotiations and settlement decision making procedure * Contested cases - the Regulatory Decisions Committee * Challenging FSA decisions at the Tribunal * Cross border investigations and parallel proceedings

Standard delegate fee: £495.00 plus VAT (£532.13)

To book, call this number: +44 (0) 1483 720 707

www.cityandfinancial.com/enf6

Money Laundering and Financial Crime Prevention 2011 Tenth Annual Conference January 2011 Central London

Topics will include: Progress on a national co-ordinated fraud strategy * The FSA's response to financial crime * Update on JMSLG activities * Developments in the US * The Bribery Act and financial institutions * Current and new threats in money laundering and terrorist financing * Motivations behind financial crime activity * Practical and compliance issues for companies

Standard delegate fee: £495.00 plus VAT (£532.13)

To book, call this number: +44 (0) 1483 720 707

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Conference Programme

08:30 Coffee and registration

09:10 Chairman's opening remarks

09:15 The FSA's Policy Statement and Final Rules

David Raikes, Manager, Remuneration Project, Financial Services Authority

09:50 The Committee of European Banking Supervisors and remuneration policy

Jo Swyngedou, Deputy Director, Prudential Policy, CBF and Chair of the CEBS Remuneration Working Group

10:25 Remuneration structures under the revised Code

Alistair Woodland, Partner, Clifford Chance LLP

11:00 Morning coffee

11:20 Implications of the Code for risk management

Jonathan Master, Partner, Financial Services, Eversheds LLP

11:55 Corporate governance and the Code

Speaker to be confirmed

12:30 Lunch

13:45 Provisions relating to conflicts of interest

Oliver Lodge, Managing Director, OWL Regulatory Consulting

14:20 Non-cash payments - ways and means

Stephen Rabel, Partner, Kinetic Partners LLP

14:55 Profit based measurement and claw back

Jon Terry, Global Leader of PwC's Financial Services HR Practice

15:30 Afternoon tea

15:45 Implementation - proportionality and risk adjustment

Janet Cooper, Partner, Linklaters LLP

16:20 The impact on private equity and asset management

Nigel Mills, Director, MM & K

16:55 Close

This conference is accredited by both the Solicitors' Regulation Authority and the Securities and Investment Institute for 6 Continuing Professional Development Points